PTO/SB/30 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
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Application Number

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Under the Paperwork Reduction Act

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

Filing Date	December 20, 1999			
First Named Inventor	Shunpei YAMAZAKI et al			
Group Art Unit	2818			
Examiner Name	R. Berry			
Attorney Docket Number	0756-2077			

09/466,828

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1.		Submi	ssion	required under 37 C.F.R. § 1.114	
	a.		Prev	viously submitted	
		i.		Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on	
		ii.		Consider the arguments in the Anneal Brief or Renty Brief previously filed on	ZJ
		iii.		Other	
	b.	×	Encl	losed 3 7	7
		i.		Amendment/Reply Affidavit(s)/Declaration(s) Information Disclosure Statement (IDS) and PTO-1449 Form Other	
		ii.		Affidavit(s)/Declaration(s)	-
		iii.	×	Information Disclosure Statement (IDS) and PTO-1449 Form	٦
		iv.		Other	
2.	N	liscell	laneou	IS .	1
	а.		Susp	ension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of three	
		_	mon	ths. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(I) required)	-
	b.	<u> 니</u>	Othe		ł
3.	F	ees	The	RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.	J
	a.	X	The I	Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account 19-2380.	ſ
		i.			İ
		ii.		RCE fee required under 37 C.F.R. § 1.17(e)	1
		ii. iii.		Extension of time fee (37 C.F.R. §§ 1.136 and 1.17) Other	
	b.			k in the amount of \$ 710.00 enclosed	ı
	с.			ent by credit card (Form PTO-2038 enclosed)	١
-	<u> </u>		· uyit	con by creat card (Form F10-2038 enclosed)	╛
				SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	7
N	ame	(Print	/Туре,	Jeffrey L. Gostellia Registration No. (Attorney/Agent) 35,483	7
Si	gnati	ıre		L //// Date 9/7/0/	7
_				CERTIFICATE OF MAILING OR TRANSMISSION	Ŧ
an	enve	ertify lope a	addres	his correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail seed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and	
Na	ıme (Print	Type)		1
Si	gnati	ire		Date	1
urd	en He	our St	ateme	ent: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual	

case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS: SEND Fees and Completed Forms to the following address: Assistant Commissioner for Patents, Box RCE, Washington, DC 20231.



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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL **FOR FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$ 710.00

	Complete if Known O 2
Application Number	09/466,828
Filing Date	December 20, 1999
First Named Inventor	Shunpei YAMAZAKI et al
Examiner Name	R. Berry
Group Art Unit	2818
Attorney Docket No.	0756-2077

METHOD OF PAYMENT	Т.			-	FEE CALCULATION (continued)	
1. X The Commissioner is hereby authorized to charge indicated	3. ADDITIONAL FEES					
fees and credit any overpayments to:	Large Entity Small Entity					
Deposit Account Number 19-2380 (0756-2077)	Fee Code	Fee e (\$)	Fee Code	Fee (\$)	Fee Description Fee Paid	
Deposit Account NIXON PEABODY LLP	105 127	130 50	205 227	· 65 25	Surcharge – late filing fee or oath Surcharge – late provisional filing fee or cover sheet	
Name Charge Any Additional Fee Required	139 147	130 2,520	139 147	130 2,520	Non-English transaction For filing a request for ex parte reexamination	
Under 37 CFR 1.16 and 1.17	112	920*	112	920*	Requesting publication of SIR prior to Examiner	
Applicant claims small entity status. See 37 CFR 1.27	113	1,840*	1131	,840*	action Requesting publication of SIR after Examiner action	
2. Payment Enclosed:	115	110	215	55	Extension for reply within first month	
🖾 Check 🗆 Credit Card 🗆 Money 🚨 Other	116	390	216	195	Extension for reply within second month	
Отder	117	890	217	445	Extension for reply within third month	
FEE CALCULATION	118	1,390	218	695	Extension for reply within fourth month	
BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Description	128 119	1,890 310	228 219	945 155	Extension for reply within fifth month Notice of Appeal	
Fee Fee Fee Fee Description Code (S) Code (S) Fee Paid	120	310	220	155	Filing a brief in support of an appeal	
101 710 201 355 Utility filing fee	121	270	221	135	Request for oral hearing	
106 320 206 160 Design filing fee	138	1,510	138 1	,510	Petition to institute a public use proceeding	
107 490 207 245 Plant filing fee	140	110	240	55	Petition to revive – unavoidable	
108 · 710 208 355 Reissue filing fee	141	1,240	241	620	Petition to revive - unintentional	
114 150 214 75 Provisional filing fee	142	1,240	242	620	Utility issue fee (or reissue)	
	143 144	440 600	243 244	220 300	Design issue fee Plant issue fee	
SUBTOTAL (1)	J					
2, EXTRA CLAIM FEES Fee from	122 123	130 50	122 123	130 50	Petitions to the Commissioner	
Extra Claims below Fee Paid Total Claims -20** = X = =	126	240	126	240	Petitions related to provisional applications Submission of information Disclosure Stmt	
Independent -3** = X = Claims	581	40	581	40	Recording each patent assignment per property (times number of properties)	
Multiple Dependent =	146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
Large Entity Small Entity Fee Fce Fce Fee Fee Description	149	710		355	For each additional invention to be examined (37 CFR § 1.29(b))	
Code (\$) Code (\$)	179	710		355	Request for Continued Examination (RCE) \$710.00	
103 18 203 9 Claims in excess of 20	169	900		900	Request for expedited examination of a design application	
102 80 202 40 Independent claims in excess of 3	Other	fee (spec	ify)			
104 270 204 135 Multiple dependent claim, if not paid	i					
109 80 209 40 ** Reissue independent claims over	* Redu	uced by E	Basic Fi	ling Fe	ce Paid SUBTOTAL (3) \$710.00	
original patent						
SUBTOTAL (2)						
**or number previously paid, if greater; For Reissues, see above						
SUBMITTED BY				,	Complete (if applicable)	
Name (Print/Type) Jeffrey L. Costellia		tration in traction in the strategy in the str		35,4	483 Telephone (703) 790-9110	
Signature Signature Signature	W_				Date 9/7/8/	